

**Financial Statement –  
Auditor's Report Candidate – Form 4**  
Municipal Elections Act, 1996 (Section 88.25)

**Instructions**

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses must complete Boxes C, D, Schedule 1 and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or their spouse) shall be immediately paid to the clerk who is responsible for the conduct of the election.

For the campaign period from (day clerk received nomination) 

YYYY	MM	DD
2022	05	13

 to 

YYYY	MM	DD
2022	12	31

- ☒ Initial filing reflecting finances from start of campaign to December 31 (or 45 days after voting day in a by-election)  
☐ Supplementary filing reflecting finances from start of campaign to end of extended campaign period

**Box A: Name of Candidate and Office**

Candidate's name as shown on the ballot

Last Name or Single Name

WARREN

Given Name(s)

PAT

Office for Which the Candidate Sought Election

WARD 2

Ward Name or Number (if any)

Municipality

CITY OF KAWARTHA LAKES

Spending Limit

General

\$ 11,849.30

Parties and Other Expressions of Appreciation

\$ 1,184.93

Contribution Limit

Contributions from Candidate and Spouse

\$ 6,611.60

☐ I did not accept any contributions or incur any expenses. (Complete Boxes A and B only)

**Box B: Declaration**

I, PAT WARREN, declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.



Signature of Candidate

2023 03 15  
Date (yyyy/mm/dd)

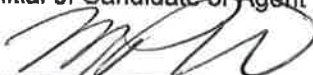
Date Filed (yyyy/mm/dd)

2023-03-15

Time Filed

3:47 pm

Initial of Candidate or Agent (if filed in person)



Signature of Clerk or Designate



DATE AMENDED

2023-03-17

2:52 pm



RECEIVED

MAR 15 2023

OFFICE OF THE CITY CLERK  
KAWARTHA LAKES

## Box C: Statement of Campaign Income and Expenses

### LOAN

Name of bank or recognized lending institution

Amount borrowed

\$

0

### INCOME

Total amount of all contributions (from line 1A in Schedule 1)

+ \$ 8224.97

Revenue from items \$25 or less

+ \$

Sign deposit refund

+ \$

Revenue from fundraising events not deemed a contribution  
(from Part III of Schedule 2)

+ \$

Interest earned by campaign bank account

+ \$

Other (provide full details)

1. BOOKKEEPING

+ \$ 100.00

2.

+ \$

3.

+ \$

4.

+ \$

5.

+ \$

6.

+ \$

Total Campaign Income (Do not include loan)

= \$ ~~8224.97~~ c1

8024.97 mru

EXPENSES (Note: Include the value of contributions of goods and services)

#### 1. Expenses subject to general spending limit

Inventory from previous campaign used in this campaign  
(list details in Table 2 of Schedule 1)

+ \$ 3197.23

Advertising

+ \$ 905.25

Brochures/flyers

+ \$ 2279.55

Signs (including sign deposit)

+ \$ 890.44

Meetings hosted

+ \$ 0

Office expenses incurred until voting day

+ \$ 6.54

Phone and/or internet expenses incurred until voting day

+ \$ 0

Salaries, benefits, honoraria, professional fees incurred until voting day

+ \$ 0

Bank charges incurred until voting day

+ \$ 67.76

Interest charged on loan until voting day

+ \$ 0

Other (provide full details)

1. POSTAGE

+ \$ 515.46

2. REIMBURSED TO PAT WARREN FOR EXPENSES

+ \$ 362.74

3.

+ \$

4.

+ \$

5.

+ \$

6.

+ \$

Total Expenses subject to general spending limit

= \$ 8224.97 c2

#### 2. Expenses subject to spending limit for parties and other expressions of appreciation

1.

+ \$ 0

2.		+	\$	0
3.		+	\$	0
4.		+	\$	0
5.		+	\$	0
<b>Total Expenses subject to spending limit for parties and other expressions of appreciation</b>				<b>= \$ 0 C3</b>

### 3. Expenses not subject to spending limits

Accounting and audit	+	\$	100.00
Cost of fundraising events/activities (list details in Part IV of Schedule 2)	+	\$	0
Office expenses incurred after voting day	+	\$	0
Phone and/or internet expenses incurred after voting day	+	\$	0
Salaries, benefits, honoraria, professional fees incurred after voting day	+	\$	0
Bank charges incurred after voting day	+	\$	0
Interest charged on loan after voting day	+	\$	0
Expenses related to recount	+	\$	0
Expenses related to controverted election	+	\$	0
Expenses related to compliance audit	+	\$	0
Expenses related to candidate's disability (provide full details)			
1.	+	\$	0
2.	+	\$	0
3.	+	\$	0
4.	+	\$	0
5.	+	\$	0

Other (provide full details)

1.	RETURNED CONTRIBUTION (PAYGEON ENTERPRISES)	+	\$	200.00
2.	RETURNED CONTRIBUTION (SHIRAZ JETHA)	+	\$	200.00
3.	CIBC NEW ACCOUNT BOXES (PAID TO CLERK)	+	\$	400.00
4.	NIGEL SIMMS OUT OF PROVINCE	+	\$	300.00
5.	RETURNED	+	\$	0

**Total Expenses not subject to spending limits** = \$ 900.00 C4

**Total Campaign Expenses (C2 + C3 + C4)** = \$ 9124.97 C5

### Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses  
(Income minus Total Expenses) (C1 – C5)

+ \$ (11,000.00) D1

If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign

- \$ (1100.00)  
= \$ 800.00 D2

Surplus (or deficit) for the campaign

If line D2 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who is responsible for the conduct of the election.

## Schedule 1 – Contributions

### Part I – Summary of Contributions

Contributions in money from candidate and spouse

+ \$ 200.00

Contributions in goods and services from candidate and spouse  
(include value listed in Table 1 and Table 2)

+ \$ 4074.97

Total value of contributions not exceeding \$100 per contributor

- Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).

+ \$ 500.00

Total value of contributions exceeding \$100 per contributor  
(from line 1B; list details in Table 3 and Table 4)

- Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).

+ \$ ~~4250.00~~ 380.00 *MPW*

**Less:** Ineligible contributions paid or payable to the contributor

Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$25

- \$ 400.00

- \$ 400.00 *MPW*

**Total Amount of Contributions** (record under Income in Box C)

= \$ ~~8224.97~~ 1A 7924.97

### Part II – Contributions from candidate or spouse

**Table 1: Contributions in goods or services**

Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
CANADA POST - POSTAGE	2022/09/29	194.67
KAWARTHA THIS WEEK	2022/10/11	383.07
Total		877.74

☐ Additional information is listed on separate supplementary attachment, if completed manually.

**Table 2: Inventory of campaign goods and materials from previous municipal campaign used in this campaign**  
(Note: Value must be recorded as a contribution from the candidate and as an expense.)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Quantity	Current Market Value (\$)
SMALL SIGNS		AUTO TRIM	150	2457.75
LARGE SIGNS		AUTO TRIM	7	700.00
WOOD FOR SIGNS		CHRS ROBERTSON	14	39.48
Total				3197.23

☐ Additional information is listed on separate supplementary attachment, if completed manually.

### Part III – Contributions exceeding \$100 per contributor – individuals other than candidate or spouse

**Table 3: Monetary contributions from individuals other than candidate or spouse**

Name	Full Address	Date Received (yyyy/mm/dd)	Amount Received (\$)	Amount Returned to Contributor or Paid to Clerk (\$)
Donor	Address	Date Rec'd	Donation	Returned Paid to Clerk
Paul Birch	3400 Country Rd. 36 South, Bobcaygeon K0M 1A0	July 27/22	1,200.00	
Judyth Hayes	28 Chadwin Dr. Lindsay, ON K0V 0E9	July 27/22	100.00	
Chris Ross	233 Ranleigh Ave. Toronto On M4N 1X3	Aug 8/22	200.00	
Doug Elmslie	34 Deane St. Fenelon Falls, On K0M 1N0	Aug 22/22	200.00	
Joan Sills	181 East St. Bobcaygeon, ON K0M 1N0	Aug 22/22	250.00	
Charles Pitcher	81 Front St. E, Box 909 Bobcaygeon K0M 1A0	Aug 22/22	250.00	
Richard Fedy	17 Huntingwood RR 4 Bobcaygeon, ON K0M 1A9	Sep 1/22	200.00	
John Bush	74 Island Bay Dr. Bobcaygeon, ON K0M 1A0	Aug 30/22	100.00	
Nigel Simms	33 Ferndale Ave., Winnipeg, Man R2H 1T7	Sep 3/22	300.00	-300 <i>John</i>
Les Jack	45 Little Bob Dr. Bobcaygeon, ON K0M 1A0	Sep 15/22	100.00	
Max Miller	76 King St. W. Bobcaygeon K0M 1A0	Sep 16/22	250.00	
Helen Reynolds	2 Reynolds Crs. Box 400, Bobcaygeon, ON K0M 1A0	Sep 22/22	100.00	
Kathleen Boyle	19 Francis St. Lindsay, On K9V 5R8	Sep 23/22	200.00	
Jack Bingleman	80 Sherwood St. Bobcaygeon, ON K0M 1A0	Sep 29/22	100.00	
CIBC	199 Bay Street, Commerce Court Toronto, ON, , M5L 1A2	Oct 4/22	400.00	-400
Joe McGuire	176 Beaver Rd. Omeme, ON K0L 2W0	Oct 21/22	200.00	
Caygeon Enterprises	Box 550, Bobcaygeon, ON K0M 1A0	Oct 11/22	200.00	-200
Shiraz Jetha	87 William ST. Bobcaygeon, ON K0M 1A0	Oct 13/22	200.00	-200
Shiraz Jetha	87 William ST. Bobcaygeon, ON K0M 1A0	Oct 21/22	200.00	
Total			4,750.00	-400

Name	Full Address	Description of Goods or Services	Date Received (yyyy/mm/dd)	Value (\$)
JUDY KENNEDY	129 VICTORIA DRIVE CAMERON	BOOKKEEPING	2023/02/10	100.00
Total				

☐ Additional information is listed on separate supplementary attachment, if completed manually.

**Total for Part III – Contributions exceeding \$100 per contributor**

**(Add totals from Table 3 and Table 4 and record the total in Part 1 – Summary of Contributions)**

\$ ~~4250.00~~ 1B

3950<sup>00</sup>  
mPW

## Schedule 2 – Fundraising Events and Activities

Complete a separate schedule for each event or activity held.

☐ Additional schedule(s) attached, if completed manually.

### Fundraising Event/Activity 1

Description of fundraising event/activity \_\_\_\_\_

Date of event/activity (yyyy/mm/dd) \_\_\_\_\_

#### Part I – Ticket revenue

Admission charge (per person)

\$ 7 2A

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

Number of tickets sold

x 8 2B

Total Part I (2A X 2B) (Include in Part I of Schedule 1)

= \$ 56

#### Part II – Other revenue deemed a contribution

Provide details (e.g., revenue from goods sold in excess of fair market value)

1.	+	\$	0
2.	+	\$	0
3.	+	\$	0
4.	+	\$	0
5.	+	\$	0

Total Part II (include in Part I of Schedule 1)

= \$ 0

#### Part III – Other revenue not deemed a contribution

Provide details (e.g., contribution of \$25 or less; goods or services sold for \$25 or less)

1.	+	\$	0
2.	+	\$	0
3.	+	\$	0
4.	+	\$	0
5.	+	\$	0

Total Part III (include under Income in Box C)

= \$ 0

#### Part IV – Expenses related to fundraising event or activity

Provide details

1.	+	\$	0
2.	+	\$	0
3.	+	\$	0
4.	+	\$	0
5.	+	\$	0

Total Part IV Expenses (include under Expenses in Box C)

= \$ 0

## Auditor's Report – *Municipal Elections Act, 1996* (Section 88.25)

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

Municipality			Date (yyyy/mm/dd)
<b>Contact Information</b>			
Last Name or Single Name		Given Name(s)	Licence Number
Address			
Suite/Unit Number	Street Number	Street Name	
Municipality		Province	Postal Code
Telephone Number		Email Address	

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

☐ Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 88.25 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.